

<b>Track</b>	Advisory and Advocacy	
<b>Sub-track</b>	Law Firm Practice	
<b>TSC</b>	Due Diligence	
<b>TSC Description</b>	Investigate and review the legal and compliance status of a target business entity or individual for risk assessment	
<b>TSC Proficiency Level</b>	Intermediate	Advanced
<b>TSC Proficiency Level Description</b>	Manage and organise the process of obtaining, understanding and assessing information to support risk assessment of a transaction	Assess information obtained to establish the risks associated with a transaction
<b>Knowledge</b>	<ul style="list-style-type: none"> <li>• Due diligence process (e.g., due diligence checklists and template reports)</li> <li>• Relevant laws, sanctions and regulations (e.g., corporate law and AML/CFT provisions)</li> <li>• Risk assessment techniques</li> <li>• Methods of obtaining information for due diligence (e.g., conducting cause book searches and obtaining financial statements)</li> <li>• Types of business structures</li> </ul>	<ul style="list-style-type: none"> <li>• Due diligence process (e.g., due diligence checklists and template reports)</li> <li>• Relevant laws, sanctions and regulations (e.g., corporate law and AML/CFT provisions)</li> <li>• Risk assessment techniques</li> </ul>
<b>Abilities</b>	<ul style="list-style-type: none"> <li>• Lead the due diligence based on established processes (e.g., due diligence checklist)</li> <li>• Identify and obtain relevant information to fulfil the established scope of due diligence</li> <li>• Review and assess depth and quality of information obtained through due diligence process</li> <li>• Check compliance status of a target business entity or individual against applicable statutes, regulations and sanctions</li> <li>• Synthesise investigation results and perform risk assessment of a target business entity or individual</li> <li>• Draft a due diligence report (e.g., outcomes of findings and recommendations to clients on managing risk)</li> </ul>	<ul style="list-style-type: none"> <li>• Establish scope of due diligence with a client</li> <li>• Establish and lead the due diligence process for team for complex matters</li> <li>• Refine and validate investigation results and risk assessment</li> <li>• Review and approve due diligence reports</li> </ul>
<b>Job Roles</b>	<ul style="list-style-type: none"> <li>• Associate (Corporate)</li> <li>• Associate (Disputes)</li> <li>• Senior Associate (Corporate)</li> <li>• Senior Associate (Disputes)</li> </ul>	<ul style="list-style-type: none"> <li>• Senior Associate (Corporate)</li> <li>• Senior Associate (Disputes)</li> <li>• Partner (Corporate)</li> <li>• Partner (Disputes)</li> </ul>