

Track	Advisory and Advocacy	
TSC Category	Legal Advocacy & Advisory	
TSC	Due Diligence	
TSC Description	Investigate and review the legal and compliance status of a target business entity or individual for risk assessment	
TSC Proficiency Level	Intermediate	Advanced
TSC Proficiency Level Description	Manage and organise the process of obtaining, understanding and assessing information to support risk assessment of a transaction	Assess information obtained to establish the risks associated with a transaction
Knowledge	• Due diligence process (e.g., due diligence checklists, template reports and common risk factors) • Relevant laws, sanctions and regulations (e.g., corporate law and AML/CFT provisions) • Risk assessment techniques • Methods of obtaining information for due diligence (e.g., conducting cause book searches and obtaining financial statements) • Types of business structures	• Strategic considerations in scoping due diligence for complex matters • Sector-specific and cross-border regulatory frameworks (e.g., sanctions, export controls and antitrust/competition law) • Emerging risks and mitigation strategies (e.g., ESG concerns)
Abilities	• Lead the due diligence based on established processes (e.g., due diligence checklist) • Identify and obtain relevant information to fulfil the established scope of due diligence • Review and assess depth and quality of information obtained through due diligence process • Check compliance status of a target business entity or individual against applicable statutes, regulations and sanctions • Synthesise investigation results and perform risk assessment of a target business entity or individual • Draft a due diligence report (e.g., outcomes of findings and recommendations to clients on managing risk)	• Establish scope of due diligence for matters • Establish and lead the due diligence process for team for complex matters • Refine and validate investigation results, risk assessment and due diligence reports
Job Roles	• Associate (Corporate) • Associate (Disputes)	• Senior Associate (Corporate) • Senior Associate (Disputes)

	• Senior Associate (Corporate) • Senior Associate (Disputes) • Legal Counsel	• Partner (Corporate) • Partner (Disputes) • Senior Legal Counsel • General Counsel
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